PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> + U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE whiter the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/870,302 JUN 1 TRANSMITTAL Filing Date May 29, 2001 FORM **First Named Inventor** Raciborski, Nathan F. et al. e used for all correspondence after initial filing) 2152 Group Art Unit **Examiner Name** Unassigned Attorney Docket Number Total Number of Pages in This Submission 19396-000510 ENCLOSURES (check all that apply) After Allowance Con Assignment Papers Fee Transmittal Form Group (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group ☐ Amendment Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below) 1. Return Postcard Terminal Disclaimer 2. 28 References Express Abandonment Request Request for Refund Supplemental Information CD, Number of CD(s) Disclosure Statement & 1449 PTO form The Commissioner is authorized to charge any additional fees to ☐ Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application No Fee Transmittal is attached hereto as this Supplemental IDS is being filed prior to the Response to Missing issuance of the First Office Action. Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Reg. No. 47,629 Douglas M. Hamilton Individual name Signature June 11, 2002 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: June 11, 2002 Sara B. McPeak Typed or printed name

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

June 11, 2002

DE 7073024 v1

Signature



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 19396-000510 Client Reference No.: D2717

Assistant Commissioner for Patents U. S. Patent and Trademark Office Washington, D.C. 20231

TOWNSEND and TOWNSEND and CREW

RECEIVE

JUN 2 4 2002

Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Nathan F. Raciborski et al.

Application No.: 09/870,302

Filed: May 29, 2001

For: CONTENT EXCHANGE

APPARATUS

Examiner:

Unassigned

Art Unit:

2152

SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37**

CFR §1.97 and §1.98

Assistant Commissioner for Patents U. S. Patent and Trademark Office Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and Nathan F. Raciborski et al. Application No.: 09/870,302

Page 2

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Douglas M. Hamilton Reg. No. 47,629

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321 DMH/sbm

DE 7073018 v1

FORM PTO-1449 (Modified)			Attorney Docket No.: 19396-000510		Application No.: 09/870,302	
LIST OF PATENTS AND PUBLICATIONS FOR			Applicant: Nathan F. Raciborski et al.			
APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Filing Date: May 29, 2001		Group: 2152	
Reference Desig	gnation	Ţ	J.S. PATENT DOCUME	NTS		Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
CA AA	5,991,306	11-23-1999	Gregory Burns et al.	H04L	12/02	
AT AR	6,029,175	02-22-2000	Yen-whei Chow et al.	G06F	17/30	
2' AE \						
UN 1 8 2000 P		FOF	REIGN PATENT DOCUM	MENTS		
JN 1 0 2002	Document No.	Date	Country	Class	Sub-class RE (Translation
ARE	EP0837584A1	04-22-1998	European	G06F	17/30	
AE AE	WO98/18076	04-30-1998	PCT	G06F	9/46 JU	N 2 4 2002
LP 5 AF	EP0877326A2	11/11/1998	European	G06F	17/30 Technol	May Contor 04
4 AG	WO98/26559	06-18-1998	PCT	H04L	29/12	yay Contel 21
ICP AH	WO98/17039	04-23-1998	PCT	G06F	17/30	
KI AI	WO98/37667	08-27-1998	PCT	H04L	12/56	
K/ AJ	WO98/53410	11-26-1998	PCT	G06F	17/30	
K AK	WO98/58315	12-23-1998	PCT	G06F	17/30	
15/ AL	WO00/13456	03-09-2000	PCT	H04Q	11/00	
121 AM	WO00/17765	03-30-2000	PCT	G06F	13/14	
K! AN	WO00/28424	05-18-2000	PCT	G06F	13/00	
AO						
AP				·		
AQ						
	,					
	CO	THER ART (Incl.	uding Author, Title, Date	, Pertinent Pages,	, Etc.)	
AR AS	Clare Bradford et al., A Bandwidth Friendly Search Engine, Proceedings Of The International Conference on Multimedia Computing And Systems, Los Alamitos, CA, June 7, 1999, Vol. 2, pgs. 720-724.					
	Dykes, Sandra G. et al., <i>Taxonomy and Design Analysis for Distributed Web Caching</i> , <u>Proceedings of the 32nd Annuyal Hawaii International Conference on Systems Sciences</u> , Maui, HI, Jan. 5-8, 1999, pgs. 1-10.					
K/ AT	Fan, Li, et al., Summary Cache: A Scalable Wide-Area Web Cache Sharing Protocol, Computer Communications Review, Associsation For Computing Machinery, New York, October, 1998, Vol. 28, no. 4, pgs. 254-265.					
/L/PAU	Gadde, Syam et al., Reduce, Reuse, Recycle, an Approach to Building Large Internet Caches, The Sixth Workshop on Hot Topics in Cape Cod, MA, May 5-6, 1997, pgs. 93-98.					
KP AV	Gadde, Syam et al., A Taste of Crispy Squid, Proceedings of the Workshop on Internet Service Performance, June, 1998, pgs. 1-8.					
KP AW	Syam Gadde et al., Web Caching and Content Distribution: A view From The Interior, Online Proceedings of the 5th International Web Caching and Content Delivery Workshop, retrieved from Internet on April 10, 2002, Abstract, Sections 1 and 2.					
F AX	Gulwani, Sumit et al. WebCaL - A Domain Specific Language For Web Caching, Online Proceedings Of The 5 th International Web Caching And Content Delivery Workshop, at http://www.terena.nl/conf/wcw/Proceedings/S2/S2-4.ps , May, 2002.					
<u></u> AY	Gwertzman, Jan	nes et al., An Anal	ysis Of Geographical Push tp://www.eecs.harvard.edu	-Caching, Harvard		

:

	JUN 18 m 2) TECEIVED				
FORM PTO-144	9 (Modified) Attorney Docket No.: 19396-000510 Application No.: 09/870,302				
LIST OF PATE	NIS AND FUBLICATIONS ASIC Applicant, Nathan F. Kachorski et al.				
	Use several sheets if necessary) Filing Date: May 29, 2001 Technology Center 2100				
AZ	Inohara, Shigekazu et al., Self-Organizing Cooperative WWW Caching, Proceedings. 18 th International Conference on Amsterdam, Netherlands, May 26-29, 1998, pgs. 74-83.				
KP AAA	Kanchanasut, Kanchana, <i>The Al3 CacheBone Project</i> , <u>INTERNET PROJECT WORKSHOP</u> , IWS 99 Osaka, Japan, Feb. 18-20, 1999, pgs. 203-208.				
KB BA	Kangasharju, Jussi et al., Locating Copies of Objects Using the Domain Name System, Proceedings of the 4th International Caching Workshop, 1999, pgs. 1-12.				
141	Tewari, Renu et al., Beyond Hierarchies: Design Considerations for Distributed Caching On The Internet, UTCS Technical Report, February, 1998, pgs. 1-22.				
JEB_BB	Sun Wu et al., Virtual Proxy Servers For WWW And Intelligent Agents On The Internet, System Sciences, 1997, Proceedings Of The Thirtieth Hwaii International Conference On Wailea, HI, Los Alamitors, CA, Jan. 7, 1997, pgs. 200-209.				
BC BC	Touch, Joseph D., Defining High-Speed Protocols: Five Challenges And An Example That Survives The Challenges, IEEE Journal On Selected Areas In Communications, IEEE Inc., New York, June 1, 1995, Vol. 13, No. 5, pgs. 828-835.				
# BD	Zhang, Xiaolan et al., HACC: An Architecture For Cluster-Based Web Servers, Proceedings Of The 3 rd Usenix Windows NT Symposium, Seattle, WA, July 12-15, 1999, pgs. 155-164.				
BE					
BF					
BG					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

EXAMINER